

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>SJK/BP5753157</b>		<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. <b>PCT/GB99/00385</b>	International filing date (day/month/year) <b>05/02/1999</b>	Priority date (day/month/year) <b>16/02/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>C07K16/42</b>		
Applicant <b>UNIVERSITY COLLEGE LONDON et al.</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 6 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 8 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand  <b>15/09/1999</b>	Date of completion of this report  <b>17.02.2000</b>
Name and mailing address of the international preliminary examining authority:   <b>European Patent Office</b> <b>D-80298 Munich</b> <b>Tel. +49 89 2399 - 0 Tx: 523656 epmu d</b> <b>Fax: +49 89 2399 - 4465</b>	Authorized officer  <b>H rtero, M</b>  Telephone No. <b>+49 89 2399 8542</b> 

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/00385

## I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

### Description, pages:

1-8,10-14,18-24	as originally filed	
9,15-17	with telefax of	01/02/2000

### Claims, No.:

1-23	with telefax of	01/02/2000
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### Drawings, sheets:

1/9-9/9	as originally filed
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2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

## III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- ☐ the entire international application.
- ☒ claims Nos. 22 with respect to industrial applicability.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/00385

because:

- ☒ the said international application, or the said claims Nos. 22 relate to the following subject matter which does not require an international preliminary examination (*specify*):

**see separate sheet**

- ☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

- ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

- ☐ no international search report has been established for the said claims Nos. .

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Yes: Claims 1-23
	No: Claims
Inventive step (IS)	Yes: Claims 1-23
	No: Claims
Industrial applicability (IA)	Yes: Claims 1-21, 23
	No: Claims 22 (?). See Section III.

### 2. Citations and explanations

**see separate sheet**

## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

### **SECTION III**

Claim 22 relates to a diagnostic method carried out *in vivo* considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of this claim (Article 34(4)(a)(i) PCT). For the assessment of the present Claim 22 on the question whether it is industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.

### **SECTION V**

#### **2. CITATIONS AND EXPLANATIONS**

The present application discloses a method of producing chemically derivatised antibodies which are capable of binding to immobilized IgG. Said derivatised antibodies are seemingly suitable to be employed in the diagnosis and/or treatment of conditions associated with immobilized IgG, and in particular agalactosyl IgG, such as autoimmune disorders including rheumatoid arthritis, juvenile arthritis, Crohn's disease etc. The derivatised antibodies of interest are obtainable by a two step method comprising a first step of treating the precursor antibodies with a chemical denaturant to "flip out" the sugar chains at physiological temperatures followed by a chemical derivatisation to prevent the sugars from flipping back to their initial interstitial position. An exemplified derivatisation reaction comprises the step of thiolating the antibody in the presence of carbonate (see e.g. page 5, lines 21-24 and page 6, lines 10-14 and page 19, lines 24-29).

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/GB99/00385

In the light of the documents cited in the International Search Report the subject-matter encompassed by present Claims 1 to 23 would appear to satisfy the novelty and inventive step criteria set forth in Article 33(2) and (3) PCT. The subject-matter of Claims 1 to 21 and 23 is also susceptible of industrial application (Article 33(4) PCT).

**SECTION VII**

The expression "incorporated by reference" in respect of prior art documents in page 24, lines 3-4 leads to a doubt as to whether the requirements of the description being self-contained are satisfied (see PCT Guidelines C-II, 4-17).

**SECTION VIII**

Apparently independent Claims 18, 22 and 23 should have referred back to:  
"...a derivatised antibody of any one of claims 7 to 13 ...".

# P ENT COOPERATION TREA

**PCT**

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
United States Patent and Trademark  
Office  
Box PCT  
Washington, D.C.20231  
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year)

02 November 1999 (02.11.99)

International application No.

PCT/GB99/00385

Applicant's or agent's file reference

SJK/BP5753157

International filing date (day/month/year)

05 February 1999 (05.02.99)

Priority date (day/month/year)

16 February 1998 (16.02.98)

Applicant

RADEMACHER, Thomas, William et al

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

15 September 1999 (15.09.99)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was



was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO  
34, chemin des Colmbettes  
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

Juan Cruz

Telephone No.: (41-22) 338.83.38

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

KIDDLE, Simon J.  
Mewburn Ellis  
York House  
23 Kingsway  
London WC2B 6HP  
GRANDE BRETAGNE

**RECEIVED**

**21 FEB 2000**

**PCT**

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT  
(PCT Rule 71.1)

Date of mailing  
(day/month/year) 17.02.2000

Applicant's or agent's file reference  
SJK/BP5753157

**IMPORTANT NOTIFICATION**

International application No.  
PCT/GB99/00385

International filing date (day/month/year)  
05/02/1999

Priority date (day/month/year)  
16/02/1998

Applicant  
UNIVERSITY COLLEGE LONDON et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

**4. REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

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Authorized officer

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**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB99/00385

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**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**



# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>SJK/BP5753157</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/GB 99/ 00385</b>	International filing date (day/month/year) <b>05/02/1999</b>	(Earliest) Priority Date (day/month/year) <b>16/02/1998</b>
Applicant  <b>UNIVERSITY COLLEGE LONDON et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☐ the text is approved as submitted by the applicant.

☒ the text has been established by this Authority to read as follows:

**DERIVATISED ANTIBODIES WITH EXPOSED CARBOHYDRATE CHAINS CAPABLE OF BINDING TO IMMOBILISED IGG**

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☒ None of the figures.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. Application No

PCT/GB 99/00385

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
JP 8220100 A	30-08-1996	NONE	
JP 5087814 A	06-04-1993	NONE	